

Practitioner's Docket No. 5325-0162.30



GR/1616

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TECH CENTER 1600/2300

In re application of: Uster, Paul S.; Zetter, Adam A.; Hjortsvang, Kristen M.; Slater, James L.; and Zhu, George Z.

Application No.: 09/498,704

Group No.: 1616

Filed: February 7, 2000

Examiner: Unknown

For: LIPOSOME COMPOSITION AND METHOD FOR ADMINISTRATION OF A  
RADIOSENSITIZER

Assistant Commissioner for Patents  
Washington, D.C. 20231

STATEMENT FOR INFORMATION DISCLOSURE  
UNDER 37 C.F.R. Section 1.97(e)

IDENTIFICATION OF INFORMATION DISCLOSURE STATEMENT  
FOR WHICH THIS STATEMENT IS BEING MADE

1. This statement is being made for the Information Disclosure Statement accompanying this statement.

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. Section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 11/20/2000

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office, (703) \_\_\_\_-\_\_\_\_.

Katrina M. Ghafghaichi  
Signature

Katrina M. Ghafghaichi  
(type or print name of person certifying)



## STATEMENT

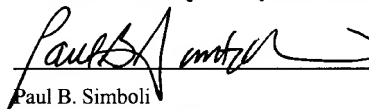
2. I, the person signing below, state that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. 37 C.F.R. Section 1.97(e)(1).

### IDENTIFICATION OF PERSON MAKING THIS STATEMENT

3. The person making this certification is:

(a) the practitioner who signs below on the basis of the information in the practitioner's file.

Date: 20 NOV 2000



Paul B. Simboli  
Registration No. 38,616  
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Zhu, George Z.

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TECH CENTER 1600/2900

Assistant Commissioner for Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
BEFORE MAILING DATE OF EITHER A FINAL ACTION  
OR NOTICE OF ALLOWANCE (37 C.F.R. section 1.97(c))

TIME OF TRANSMITTAL OF ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

1. The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either

---

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:

MAILING

- ☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: 11/20/2000

FACSIMILE

- ☐ transmitted by facsimile to the Patent and Trademark Office.

Katrina M. Ghafghaichi  
Signature

Katrina M. Ghafghaichi  
(type or print name of person certifying)

- (1) a final action under section 1.113 or  
(2) a notice of allowance under section 1.311

whichever occurs first.



**STATEMENT**

2. Accompanying this transmittal is a statement as specified in 37 C.F.R. section 1.97(e).

Date: 20 NOV 2000

A handwritten signature in cursive script, reading "Paul B. Simboli", written over a horizontal line.

Paul B. Simboli  
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GAU 1615  
2/28/01

NOV 27 2000

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C., 20231, on:

Date: 11/1/00

By: Deborah Brock Knipe

Docket No. 5325-0162.30

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Uster, et al.

SERIAL No.: 09/498,704

FILED: February 7, 2000

FOR: LIPSOME COMPOSITION AND METHOD  
FOR ADMINISTRATION OF A  
RADIOSENSITIZER

EXAMINER: Kishore, G.

ART UNIT: 1615

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NOV 30 2000

TECH CENTER 1600/2908

Supplemental Information Disclosure Statement Within Three  
Months of Application Filing or Before First Action - 37 CFR  
1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

1. Timing of Submission

This information disclosure is being filed within three months of the filing date of this application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever occurs last [37 CFR 1.97(b)]. The references listed on the enclosed Form PTO-1449 (modified) may be material to the examination of this application; the Examiner is requested to make them of record in the application.

2. Cited Information

☒ Copies of the following references are enclosed:

- ☒ All cited references
- ☐ References marked by asterisks
- ☐ The following:

3. Effect of Information Disclosure Statement (37 CFR 1.97(h))

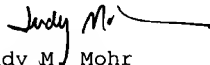
This Information Disclosure Statement is not to be construed as a representation that: (i) a search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

4. Fee Payment

No fees are believed due. However, should the Commissioner determine that fees are due in order for this Information Disclosure Statement to be considered, the Commissioner is hereby authorized to charge such fees to Deposit Account No. 04-0531.

Respectfully submitted,

Date: 11/21/00

  
Judy M. Mohr  
Registration No. 38,563

Correspondence Address:  
Customer No. 22918